

# Why Not Categorical Equivalence?

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I think work on this topic has been fruitful, but we need to address some foundational problems.



# Talk Overview

- 1 What Have We Done?
- 2 The Structure of Theories
- 3 Where do we go from here?



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Two theories are equivalent if:

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We will return to this, but: (2) has always been left unspecified.



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The idea behind this criterion is that two theories are equivalent if:

- ① Their mathematical structures are equivalent;
- ② They have the same empirical content; and
- ③ These two equivalences are compatible.



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- ④ Einstein algebras & general relativity
- ⑤ Various formulations of Yang-Mills theory



I believe categorical equivalence has given the right verdict in all of these cases.



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In others—LM & HM—I think it has provided real insight into differences of interpretation.

And finally, we have seen strong theoretical connections between categorical equivalence and other notions of equivalence, such as definitional/Morita equivalence and  $\text{sym}^*$ .



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- ③ How does what we are doing relate to “native” applications of categories in physics, such as locally covariant (quantum) field theory, (higher) gauge theory, fusion categories and anyons, etc.
- ④ Can we establish “empirical equivalence” once and for all? How do we capture the modal character of realistic cases?
- ⑤ Physical theories are messy affairs including all sorts of arguments, numerical methods, biases, etc. Is there a single category associated with a physical theory?



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# Confession



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I do not think that a “category (of models?)” (necessarily) captures the “structure” of a theory.



# Ideology

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Eg. groups are collections of elements distinguished (only) by their multiplicative relations with other group elements.



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In analogy to groups: a category is a collection of objects distinguished (only, and only up to isomorphism) by their arrow-algebraic relations with other objects.



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(This isn't meant to be a surprise!)



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Thus, to refer to that structure in reasoning or constructions involving categories is [insert adjective suggesting disapproval].

Analogy: there are no theorems of differential geometry that rely on the points being Dedekind cuts, rather than Cauchy sequences.





# Question

Can pure “category structure” capture a theory?



# Answer

Sometimes, and sort of.



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Sets are distinguished, up to isomorphism (bijection), by the arrows of **Set**; non-isomorphic sets sit in different positions in the graph of arrows.



# Abstracting

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**Proposal:** A theory is captured by category structure only if the arrows of that category can distinguish the objects, up to isomorphism.

This often fails.



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Then objects with no symmetries are not distinguished from one another.

(Question: does adding isometric embeddings help? I doubt it!)





# The Geroch property

A category  $C$  has the **Geroch property** if every full, faithful, and essentially surjective functor  $F : C \rightarrow C$  is naturally isomorphic to  $1_C$ .



# GR revisited

**GR** does not have the Geroch property.



# The Geroch property revisited



# The Geroch property revisited

The Geroch property is (probably) neither necessary nor sufficient for a category to capture the “structure” of a theory.



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Its category of models,  $\mathbf{Di}$ , has, as objects, two dimensional vector spaces with ordered basis, and as arrows, linear bijections that preserve that basis.



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Baubles says “there are two shiny things, one of which is red and the other of which is blue”.

Its category of models, **Bau**, has, as objects, ordered pairs, and as arrows, bijections that preserve order.



# Example [sufficiency]

Both **Bau** and **Di** satisfy the Geroch property.



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Both **Bau** and **Di** satisfy the Geroch property.

But it is hard to see how either captures the structure of their respective theories.

Indeed, **Di** and **Bau** are equivalent, despite the models having very different internal structures.



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If the **Bau-Di** equivalence seems trivial, consider instead...



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**ManDi**: objects are finite dimensional vector spaces with preferred ordered bases; arrows are linear bijections preserving basis.

**BauMo**: objects are ordered (finite) n-tuples; arrows are bijections preserving order.

We can even add “embeddings” in these cases, without violating the Geroch property!



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Consider the category **Ring** whose objects are rings and whose arrows are ring homomorphisms.

There is an endoequivalence  $Op$  that takes rings to their opposite rings, which is not naturally isomorphic to the identity.

But this endoequivalence captures a real “symmetry” of the theory of rings.

I do not think this shows that **Ring** does not capture the structure of rings.



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This suggests the Geroch property is not quite what we want. **But the instinct seems right!**



# Questions

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Do all “natural” “concrete” categories (such as **Man**) share these features?



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Is there a property that captures the intuition behind the Geroch property better?

What features do **Set**, **Group**, **Ring**, etc. share that **GR** lack?

Do all “natural” “concrete” categories (such as **Man**) share these features?

Does *any* physical theory's category of models?



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# The Mines of Morita

I see three ways of responding to the situation above.





# Option 1: The Parnsip Theory



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This option has two problems.

- 1 What is the property?
- 2 Do we believe any “theories in the wild” have this property?



# Option 2: The Beet Theory



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Indeed, bad failures of the Geroch property (e.g. **GR**) are arguably failures of “definability” of endoequivalences.



# Beet It

Not so fast.



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Recall the ideology above.



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It is analogous to noting that not all functions are well-behaved, and then restricting attention to continuous or smooth maps.



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Hudetz category structure is preserved by definable functors.

But what is a Hudetz category?

Possible analogues: tangent bundle (a vector bundle + solder form);  
vector space with basis; a manifold of states (?).



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**Question:** What role are categories playing in this?



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**But!** All polynomials are continuous in a particular canonical topology on  $\mathbb{R}$ .

Perhaps the functors we usually see are like this.



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Why do these work?



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We then show that these relationships are functorial.



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- 3 In particular, symmetries are preserved when we pass between theories.

These are natural things to (try to) establish about any mathematical relationship.



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**Pessimistic gloss:** All of the real work is done by deep, but non-categorical, mathematics that establishes **empirical equivalence**; category theory is just window dressing!



# The end

Thank you!

